

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury  
Internal Revenue Service

▶ **Do not enter social security numbers on this form as it may be made public.**  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning

, 2019, and ending , 20

Name of foundation

**The Dennis & Victoria Ross Foundation**

**A Employer identification number**  
47-1120196

Number and street (or P.O. box number if mail is not delivered to street address)  
**24 E. 22nd Street FL 2**

**B Telephone number (see instructions)**  
646-362-4316

City or town, state or province, country, and ZIP or foreign postal code  
**New York, NY 10010**

**C** If exemption application is pending, check here

**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**D 1.** Foreign organizations, check here

**2.** Foreign organizations meeting the 85% test, check here and attach computation

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I, column (d), must be on cash basis.)

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**Part I**

**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	41,608	41,608	41,608	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		152,711		
8 Net short-term capital gain			19,038	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 <b>Total.</b> Add lines 1 through 11	41,608	194,319	60,646	
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc.	68,900			68,900
14 Other employee salaries and wages				
15 Pension plans, employee benefits	13,155			13,155
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)	11,617	11,548	11,548	69
17 Interest				
18 Taxes (attach schedule) (see instructions)	3,358			
19 Depreciation (attach schedule) and depletion				
20 Occupancy	22,650			22,650
21 Travel, conferences, and meetings	1,807			1,807
22 Printing and publications				
23 Other expenses (attach schedule)	60,796			60,796
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23	182,283			
25 Contributions, gifts, grants paid				
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	182,283	11,548	11,548	170,735
27 Subtract line 26 from line 12:				
a <b>Excess of revenue over expenses and disbursements</b>	-140,675			
b <b>Net investment income</b> (if negative, enter -0-)		182,771		
c <b>Adjusted net income</b> (if negative, enter -0-)			49,098	

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .		<b>166,916</b>		<b>47,828</b>		<b>47,828</b>
	<b>2</b> Savings and temporary cash investments . . . . .		<b>24,733</b>		<b>32,455</b>		<b>32,455</b>
	<b>3</b> Accounts receivable ▶ Less: allowance for doubtful accounts ▶						
	<b>4</b> Pledges receivable ▶ Less: allowance for doubtful accounts ▶						
	<b>5</b> Grants receivable . . . . .						
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .						
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶						
	<b>8</b> Inventories for sale or use . . . . .						
	<b>9</b> Prepaid expenses and deferred charges . . . . .						
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)						
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .		<b>1,966,243</b>		<b>2,089,645</b>		<b>2,551,721</b>
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .						
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶						
	<b>12</b> Investments—mortgage loans . . . . .						
	<b>13</b> Investments—other (attach schedule) . . . . .						
	<b>14</b> Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶		<b>3,087,897</b>		<b>3,087,897</b>		<b>3,087,897</b>
<b>15</b> Other assets (describe ▶ _____)							
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .		<b>5,245,789</b>		<b>5,257,825</b>		<b>5,719,901</b>	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .						
	<b>18</b> Grants payable . . . . .						
	<b>19</b> Deferred revenue . . . . .						
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons . . . . .						
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .						
	<b>22</b> Other liabilities (describe ▶ _____)						
<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		<b>0</b>		<b>0</b>			
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24, 25, 29, and 30.</b>						
	<b>24</b> Net assets without donor restrictions . . . . .		<b>5,245,789</b>		<b>5,257,825</b>		
	<b>25</b> Net assets with donor restrictions . . . . .						
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 26 through 30.</b>						
	<b>26</b> Capital stock, trust principal, or current funds . . . . .						
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .						
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds . . . . .						
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .		<b>5,245,789</b>		<b>5,257,825</b>			
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		<b>5,245,789</b>		<b>5,257,825</b>			

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>		
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .		<b>5,245,789</b>
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>1</b>	<b>-140,675</b>
<b>3</b> Other increases not included in line 2 (itemize) ▶ <b>Portfolio gains</b> . . . . .	<b>3</b>	<b>152,711</b>
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	<b>5,257,825</b>
<b>5</b> Decreases not included in line 2 (itemize) ▶ . . . . .	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . . . . .	<b>6</b>	<b>5,257,825</b>

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	Corporate securities in UBS managed account	D	Various	Various
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b>	See attachment		See attachment	See attachment
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(f) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	152,711
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6); If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 . . . . .		<b>3</b>	19,038

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018			
2017			
2016			
2015			
2014			
<b>2</b>	Total of line 1, column (d) . . . . .		<b>2</b>
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . . . .		<b>3</b>
<b>4</b>	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . . . .		<b>4</b>
<b>5</b>	Multiply line 4 by line 3 . . . . .		<b>5</b>
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b) . . . . .		<b>6</b>
<b>7</b>	Add lines 5 and 6 . . . . .		<b>7</b>
<b>8</b>	Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		<b>8</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	3,655
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2	<b>3</b>	3,655
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	3,655
<b>6</b>	Credits/Payments:		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	<b>6a</b>	5,000
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	5,000
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	1,345
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2020 estimated tax</b> <b>1,345</b> <b>Refunded</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b>		<input checked="" type="checkbox"/>
<b>1b</b>		<input checked="" type="checkbox"/>
<b>1c</b>		<input checked="" type="checkbox"/>
<b>2</b>		<input checked="" type="checkbox"/>
<b>3</b>		<input checked="" type="checkbox"/>
<b>4a</b>		<input checked="" type="checkbox"/>
<b>4b</b>		<input checked="" type="checkbox"/>
<b>5</b>		<input type="checkbox"/>
<b>6</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>7</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>8b</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>9</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>10</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions . . . . .	11	✓
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	12	✓
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.dvrf.org/documentation</u>	13	✓
14 The books are in care of ▶ <u>Douglas Ross</u> Telephone no. ▶ <u>646-362-4316</u> Located at ▶ <u>24 E. 22nd Street, FL 2 New York NY</u> ZIP+4 ▶ <u>10010</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <u>15</u>		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

	Yes	No
<b>File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.</b>		
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . Organizations relying on a current notice regarding disaster assistance, check here . . . . . <input type="checkbox"/>	1b	✓
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	2b	
c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	✓

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions  Yes  No

Organizations relying on a current notice regarding disaster assistance, check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?  Yes  No

	Yes	No
5a(1)		
5a(2)		
5a(3)		
5a(4)		
5a(5)		
b		
c		
6a		
6b		✓
7a		
7b		
8		

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dennis E. Ross 371 Gilchrist Ave Boca Grande, FL 33921	President, 10-15	0	0	0
Douglas E. Ross 153 16th Street Brooklyn, NY 11215	Vice President, Treasurer 40-50	68,900	0	0
William H Weigel 22 Tompkins Place Brooklyn, NY 11231	Director, 1-5	0	0	0

**2** Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		
.....		
.....		

**Total** number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1 Hitchens Prize/Lecture - See Attached Schedule</b>	2,581
.....	
<b>2 Playwrights Program - See attached schedule</b>	33,071
.....	
<b>3 Conference on Income and Tax Inequality - See attached schedule</b>	0
.....	
<b>4</b>	
.....	
.....	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b>	
.....	
<b>2</b>	
.....	
All other program-related investments. See instructions.	
<b>3</b>	
.....	
.....	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	2,383,950
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	72,351
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	2,456,301
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	2,456,301
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	36,845
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	2,419,456
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	120,973

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5 . . . . .	<b>2a</b>	
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	170,735
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	<b>4</b>	170,735
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions . . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	170,735

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7 . . . . .				
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only . . . . .				
<b>b</b> Total for prior years: 20 __, 20 __, 20 __				
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014 . . . . .				
<b>b</b> From 2015 . . . . .				
<b>c</b> From 2016 . . . . .				
<b>d</b> From 2017 . . . . .				
<b>e</b> From 2018 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ► \$ _____				
<b>a</b> Applied to 2018, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2019 distributable amount . . . . .				
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).) . . . . .				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015 . . . . .				
<b>b</b> Excess from 2016 . . . . .				
<b>c</b> Excess from 2017 . . . . .				
<b>d</b> Excess from 2018 . . . . .				
<b>e</b> Excess from 2019 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . . ▶

8/20/2014

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years			(e) Total
(a) 2019	(b) 2018	(c) 2017	(d) 2016	
49,098	9,481	87,496	29,658	175,733
41,733	8,059	74,732	25,209	149,733
170,735	242,327	3,368,666	142,350	3,924,078
80,649	76,041	95,080	74,805	326,575

**b** 85% of line 2a . . . . .

**c** Qualifying distributions from Part XII, line 4, for each year listed . . . . .

**d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .

**e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .

**3** Complete 3a, b, or c for the alternative test relied upon:

**a** "Assets" alternative test—enter:  
**(1)** Value of all assets . . . . .  
**(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .

**b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed . . . . .

**c** "Support" alternative test—enter:  
**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .  
**(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .  
**(3)** Largest amount of support from an exempt organization . . . . .  
**(4)** Gross investment income . . . . .

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Dennis Ross

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
<b>Total</b> . . . . .				<b>3a</b>
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .				<b>3b</b>





**THE DENNIS AND VICTORIA ROSS FOUNDATION -  
2019 FORM 990-PF**

**PART I, LINE 16 (c)**

Other Professional Fees-- **\$11,617**

1. UBS Investment Account Management Fee - \$11,548
2. Harvard Business Services Registered Agent Fee (Delaware) -- \$69

**THE DENNIS AND VICTORIA ROSS FOUNDATION -  
2019 FORM 990-PF**

**PART I, LINE 18 - TAXES**

1. Balance Due, 2018 990-PF (\$3,333)
2. Delaware Franchise Tax - 2017/18 (\$25)

# THE DENNIS AND VICTORIA ROSS FOUNDATION - 2018 FORM 990-PF

## PART I, LINE 23 - OTHER EXPENSES

1. Hitchens Prize Lecture - \$2,581 (Wine for Prize dinner; due to scheduling delays, the bulk of Program costs were expensed in January 2020)
  2. Playwrights Program - \$33,071 (Script coverage; Director/Actor/casting director/assist producer compensation; script printing and other supplies; catering for rehearsals and presentation; travel)
  3. Office Expenses - \$23,401 (all office supplies; email and bookkeeping subscription costs; furniture and decor; furniture removal)
  4. Research/Project Development - \$891
  5. Shipping and Delivery Costs - \$87
  6. Website - \$192
  7. State and Local Fees - \$250 (NY CHAR-500)
  8. Penalties and Settlements - \$323
- Total: **\$60,796**





UBS Financial Services Inc.  
Private Wealth Management  
2301 W. Big Beaver Rd  
Suite 800  
Troy MI 48064-3331

CNP7008087787 1219X13 V1 0

# 2019 Year End Summary

Account name: THE DENNIS & VICTORIA ROSS

FOUNDATION

Friendly account name: OGARPSMIDETF

Account number: V1 35191 G9

Your Financial Advisor:

THE GASPER GROUP

Phone: 248-643-9200/800-446-0311

THE DENNIS & VICTORIA ROSS  
FOUNDATION  
24 E. 22ND STREET  
FLOOR 2  
NEW YORK NY 10010-6146

## Summary of gains and losses

	Amount (\$)
Short term	19,037.89
Long term	133,673.13
<b>Total</b>	<b>\$152,711.02</b>

## Realized gains and losses

Estimated 2019 gains and losses for transactions with trade dates through 12/31/19 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain structured products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AVT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

## Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
AMAZON.COM INC	FIFO	1,000	Jan 02, 19	Mar 04, 19	1,689.83	1,469.72			220.11

continued next page

Member SIPC

CNP70010008087787 NP7000309416 00004 1219 030839025 V1951 91 G90 101000



Portfolio Management Program

Account name: THE DENNIS & VICTORIA ROSS  
Friendly account name: OSGARSPMIDFT  
Account number: VI 35191 G9

Your Financial Advisor:  
THE GASPER GROUP  
248-643-9200/800-446-0311

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMERIPRISE FINANCIAL INC	FIFO	27,000	Jun 19, 18	Mar 11, 19	3,350.29	3,975.57		-625.28	
	FIFO	70,000	Jun 19, 18	Jun 18, 19	10,608.08	10,307.05			301.03
	FIFO	14,000	Jan 02, 19	Jun 18, 19	2,121.62	1,446.74			674.88
	FIFO	53,000	Jan 02, 19	Aug 12, 19	6,805.16	5,476.94			1,328.22
BLACKROCK INC	FIFO	5,000	Jan 14, 19	Sep 16, 19	2,205.83	1,991.75			214.08
BOOZ ALLEN HAMILTON HLDG CORP CL A	FIFO	4,000	Nov 28, 18	Mar 04, 19	211.59	204.57			7.02
	FIFO	71,000	Nov 28, 18	Jun 24, 19	4,700.82	3,631.00			1,069.82
COSTCO WHOLESALE CORP	FIFO	42,000	Mar 11, 19	Nov 11, 19	12,664.42	9,609.21			3,055.21
ECOLAB INC	FIFO	10,000	Jan 14, 19	Jun 18, 19	1,913.10	1,500.20			412.90
HEALTH CARE SVCS GROUP INC	FIFO	34,000	Jan 14, 19	Nov 12, 19	865.30	1,457.24		-591.94	
HUDSON LTD CL A	FIFO	9,000	Sep 18, 18	Mar 04, 19	131.93	205.37		-73.44	
IAC INTERACTIVE CORP	FIFO	1,000	Dec 19, 18	Mar 04, 19	210.07	178.95			31.12
LAUDER ESTEE COS CL A	FIFO	3,000	Nov 14, 18	Mar 04, 19	465.20	435.54			29.66
LOGITECH INTL SA REG NAMER-FAKT CHF	FIFO	9,000	Jun 07, 18	Mar 04, 19	338.32	392.47		-54.15	
MASSIMO CORP	FIFO	2,000	Dec 19, 18	Mar 04, 19	265.09	217.86			47.23
MICROSOFI CORP	FIFO	28,000	May 14, 18	Mar 04, 19	3,118.09	2,754.27			363.82
MINIBODY INC ***MERGER: 02/2019** CL A	FIFO	220,000	Sep 18, 18	Jan 31, 19	8,020.00	9,040.90		-1,020.90	
	FIFO	13,000	Jan 14, 19	Jan 31, 19	473.91	478.27		-4.36	
RED HAT INC **CASH MERGER: 07/2019***	FIFO	54,000	Oct 15, 18	Jan 02, 19	9,437.94	6,618.77			2,819.17
	FIFO	263,000	Oct 15, 18	Jan 14, 19	46,182.20	32,235.89			13,946.31
SCHLUMBERGER LTD NETHERLANDS ANTIILLES	FIFO	147,000	May 14, 18	May 13, 19	5,676.57	10,561.22		-4,884.65	
	FIFO	45,000	Jan 14, 19	May 13, 19	1,737.72	1,860.15		-122.43	
SEALED AIR CORP NEW	FIFO	19,000	Jan 14, 19	Jun 24, 19	786.77	692.55			94.22
SS&C TECHNOLOGIES HLDGS INC COMI	FIFO	88,000	Mar 29, 18	Mar 18, 19	5,521.67	4,627.03			894.64

continued next page



Portfolio Management Program

Account name: THE DENNIS & VICTORIA ROSS  
 Friendly account name: OGARPSMIDTF  
 Account number: V1 35191 G9

Your Financial Advisor:  
 THE GASPER GROUP  
 248-643-9200/800-446-0311

Realized gains and losses (continued)  
**Short-term capital gains and losses** (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
SUPERNUS PHARMACEUTICALS INC	FIFO	7,000	Sep 18, 18	Mar 04, 19	273.54	328.37		-54.83	
	FIFO	10,000	Jan 14, 19	Sep 23, 19	280.19	374.63		-94.44	
	FIFO	108,000	Jan 31, 19	Sep 23, 19	3,026.10	4,103.17		-1,077.07	
TAKE-TWO INTERACTIVE SOFTWARE	FIFO	3,000	Oct 12, 18	Mar 04, 19	261.22	383.67		-122.45	
UNITED TECHNOLOGIES CORP	FIFO	16,000	Jan 14, 19	May 28, 19	2,102.15	1,760.48			341.67
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	69,000	Sep 18, 18	Aug 12, 19	9,459.36	7,546.64			1,912.72
<b>Total</b>					<b>\$144,904.08</b>	<b>\$125,866.19</b>		<b>-\$6,725.94</b>	<b>\$27,763.83</b>
<b>Net short-term capital gains and losses</b>									<b>\$19,037.89</b>
<b>Long-term capital gains and losses</b>									
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ACCENTURE PLC IRELAND CL A	FIFO	1,000	Nov 16, 15	Mar 04, 19	161.34	105.23			56.11
	FIFO	6,000	Nov 16, 15	Mar 04, 19	968.04	632.53			335.51
	FIFO	117,000	Nov 16, 15	Dec 16, 19	24,156.47	12,394.33			11,822.14
ADOBE INC. (DELAWARE)	FIFO	6,000	Feb 16, 16	Mar 04, 19	1,537.34	472.14			1,065.20
ADVANCE AUTO PARTS INC	FIFO	1,000	Jan 04, 16	Mar 04, 19	157.76	150.96			6.80
	FIFO	2,000	Jan 04, 16	Oct 21, 19	329.53	301.92			27.61
	FIFO	29,000	Jan 09, 17	Oct 21, 19	4,778.23	4,934.64		-156.41	
AKAWAI TECHNOLOGIES INC	FIFO	2,000	Jan 16, 15	Mar 04, 19	139.88	117.90			21.98
	FIFO	3,000	Mar 03, 15	Mar 04, 19	209.81	210.27		-0.46	
	FIFO	66,000	Mar 03, 15	Sep 23, 19	5,900.46	4,625.94			1,274.52
	FIFO	20,000	May 12, 15	Sep 23, 19	1,788.02	1,516.80			271.22
	FIFO	40,000	Oct 28, 15	Sep 23, 19	3,576.04	2,444.39			1,131.65
ALPHABET INC CL A	FIFO	2,000	Mar 03, 15	Mar 04, 19	2,285.75	1,151.98			1,133.77

continued next page



Portfolio Management Program

Account name: THE DENNIS & VICTORIA ROSS  
Friendly account name: OGASPMADIEF  
Account number: VI 35191 G9

Your Financial Advisor:  
THE GASPERS GROUP  
248-643-9200/800-446-0311

Realized gains and losses (continued)  
Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMERICAN TOWER CORP REIT	FIFO	5,000	Mar 20, 17	Mar 04, 19	886.84	582.94			303.90
	FIFO	89,000	Mar 20, 17	Apr 15, 19	17,470.23	10,376.23			7,094.00
	FIFO	17,000	Jun 05, 17	Apr 15, 19	3,337.01	2,239.67			1,097.34
AMERICAN WATER WORKS CO INC NEW	FIFO	4,000	Apr 24, 17	Mar 04, 19	403.47	322.04			81.43
	FIFO	67,000	Apr 24, 17	Jun 24, 19	7,885.73	5,394.17			2,489.56
	FIFO	41,000	Apr 24, 17	Dec 16, 19	4,933.94	3,300.91			1,633.03
AMERIPRISE FINANCIAL INC	FIFO	10,000	May 12, 15	Mar 04, 19	1,300.53	1,249.90			50.63
	FIFO	6,000	May 12, 15	Mar 11, 19	744.51	749.94		-5.43	
	FIFO	28,000	Sep 14, 15	Mar 11, 19	3,474.37	3,053.58			420.79
	FIFO	34,000	Oct 28, 15	Mar 11, 19	4,218.88	3,857.98			360.90
	FIFO	8,000	Jan 04, 16	Mar 11, 19	992.68	826.24			166.44
	FIFO	8,000	Jan 09, 17	Mar 11, 19	992.67	931.12			61.55
	FIFO	21,000	Jun 05, 17	Mar 11, 19	2,605.78	2,569.54			36.24
ARISTA NETWORKS INC	FIFO	2,000	Apr 06, 16	Mar 04, 19	566.23	129.71			436.52
	FIFO	89,000	Apr 06, 16	Mar 18, 19	26,729.01	5,772.10			20,956.91
BLACKROCK INC	FIFO	2,000	Jul 17, 17	Mar 04, 19	872.38	850.10			22.28
	FIFO	6,000	Jul 17, 17	Mar 11, 19	2,538.39	2,550.30		-11.91	
	FIFO	21,000	Jul 17, 17	Aug 12, 19	8,870.83	8,926.05			
	FIFO	35,000	Jul 17, 17	Sep 16, 19	15,440.81	14,876.75		-55.22	
BOBE GLOBAL MARKETS INC	FIFO	3,000	Mar 08, 16	Mar 04, 19	289.40	189.49			564.06
CENTENE CORP	FIFO	7,000	Jul 09, 15	Mar 04, 19	407.88	248.66			158.22
CITIZENS FINANCIAL GROUP INC	FIFO	12,000	Jan 22, 16	Mar 04, 19	439.56	247.15			192.41
COMCAST CORP NEW CL A	FIFO	16,000	Dec 18, 14	Mar 04, 19	617.03	447.96			169.07
	FIFO	6,000	Jan 16, 15	Mar 04, 19	231.39	169.23			62.16
DANAHER CORP	FIFO	10,000	Mar 03, 15	Mar 04, 19	1,253.24	655.45			597.79
	FIFO	1,000	May 12, 15	Mar 04, 19	125.32	64.75			60.57
ECOLAB INC	FIFO	25,000	Dec 18, 14	Jan 02, 19	3,618.94	2,628.13			990.81
	FIFO	2,000	Dec 18, 14	Mar 04, 19	337.22	210.25			126.97

continued next page



Portfolio Management Program

Account name: THE DENNIS & VICTORIA ROSS  
Friendly account name: OGARPSMIDTF  
Account number: V1 35191 G9

Your Financial Advisor:  
THE GASPER GROUP  
248-643-9200/800-446-0311

Realized gains and losses (continued)  
Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
EPAM SYSTEMS INC									
FIFO	FIFO	2,000	Jan 16, 15	Mar 04, 19	337.21	203.88			133.33
FIFO	FIFO	12,000	Jan 16, 15	Apr 15, 19	2,213.66	1,223.29			990.37
FIFO	FIFO	5,000	Jan 20, 15	Apr 15, 19	922.36	511.03			411.33
FIFO	FIFO	71,000	Mar 03, 15	Apr 15, 19	13,097.50	8,223.21			4,874.29
FIFO	FIFO	5,000	May 12, 15	Apr 15, 19	922.36	568.75			353.61
FIFO	FIFO	21,000	May 12, 15	Jun 18, 19	4,017.50	2,388.75			1,628.75
FIFO	FIFO	8,000	Sep 14, 15	Jun 18, 19	1,530.48	869.04			661.44
FIFO	FIFO	22,000	Oct 28, 15	Jun 18, 19	4,208.81	2,623.72			1,585.09
FIFO	FIFO	8,000	Jan 04, 16	Jun 18, 19	1,530.48	893.36			637.12
FIFO	FIFO	13,000	Jan 09, 17	Jun 18, 19	2,487.02	1,549.47			937.55
FIFO	FIFO	19,000	Jun 05, 17	Jun 18, 19	3,634.88	2,540.30			1,094.58
FACEBOOK INC CL A									
FIFO	FIFO	4,000	Nov 24, 15	Mar 04, 19	640.41	309.60			330.81
FIFO	FIFO	21,000	Nov 24, 15	Mar 18, 19	3,545.93	1,625.40			1,920.53
FIFO	FIFO	7,000	Jan 04, 16	Mar 18, 19	1,181.98	521.08			660.90
FIFO	FIFO	3,000	Jan 09, 17	Mar 18, 19	506.56	200.33			306.23
FIFO	FIFO	30,000	Jan 09, 17	May 20, 19	4,969.40	2,003.30			2,966.10
FIFO	FIFO	23,000	Jan 09, 17	Oct 21, 19	4,297.96	1,535.86			2,762.10
FORTINET INC									
FIFO	FIFO	6,000	Jan 04, 16	Mar 04, 19	992.09	606.99			385.10
FIFO	FIFO	3,000	Jun 05, 17	Mar 04, 19	496.04	161.40			34.64
FIFO	FIFO	4,000	Jan 09, 17	Mar 04, 19	333.35	124.34			209.01
FIFO	FIFO	48,000	Jan 09, 17	Apr 22, 19	4,407.75	1,492.14			2,915.61
HEALTH CARE SVCS GROUP INC									
FIFO	FIFO	16,000	Jul 22, 16	Mar 04, 19	539.19	612.64		-73.45	
FIFO	FIFO	234,000	Jul 22, 16	Mar 18, 19	7,026.90	8,959.86		-1,932.96	
FIFO	FIFO	12,000	Jan 09, 17	Mar 18, 19	360.35	466.44		-106.09	
FIFO	FIFO	49,000	Feb 07, 18	Mar 18, 19	1,471.45	2,321.00		-849.55	
FIFO	FIFO	89,000	Feb 07, 18	Nov 12, 19	2,265.06	4,215.70		-1,950.64	
FIFO	FIFO	201,000	Mar 29, 18	Nov 12, 19	5,115.46	8,733.87		-3,618.41	

continued next page

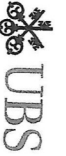


Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or Face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
HOME DEPOT INC	FIFO	6,000	Aug 18, 15	Mar 04, 19	1,095.23	734.93			360.30
	FIFO	23,000	Aug 18, 15	Nov 11, 19	5,301.39	2,817.25			2,484.14
	FIFO	41,000	Aug 24, 15	Nov 11, 19	9,450.30	4,623.57			4,826.73
HONEYWELL INTL INC	FIFO	6,000	Jan 04, 16	Mar 04, 19	926.30	582.68			343.62
	FIFO	2,000	Jun 05, 17	Mar 04, 19	308.76	256.72			52.04
HUNTINGTON INGALLS INDS INC	FIFO	2,000	Aug 01, 17	Mar 04, 19	412.55	412.00			0.55
INTERCONTINENTALEXCHANGE GROUP	FIFO	8,000	Mar 03, 15	Mar 04, 19	608.55	375.52			233.03
	FIFO	113,000	Mar 03, 15	Aug 12, 19	10,371.95	5,304.22			5,067.73
	FIFO	18,000	May 12, 15	Aug 12, 19	1,652.17	864.07			788.10
INTL FLAVORS&FRGNCS	FIFO	2,000	Jan 09, 17	Mar 04, 19	253.61	231.18			22.43
	FIFO	42,000	Jan 09, 17	Sep 23, 19	5,136.57	4,854.74			281.83
ISHARES CORE MSCI EMERGING MARKETS ETF	FIFO	34,000	Jan 09, 17	Mar 04, 19	1,732.68	1,479.85			252.83
ISHARES CORE MSCI EAFE ETF	FIFO	25,000	Jan 09, 17	Mar 04, 19	1,506.05	1,366.65			139.40
ISHARES MSCI EAFE SMALL CAP ETF	FIFO	26,000	Jan 09, 17	Mar 04, 19	1,494.20	1,321.78			172.42
	FIFO	800,000	Jan 09, 17	Sep 23, 19	45,875.05	40,670.00			5,205.05
JAZZ PHARMACEUTICALS PIC	FIFO	3,000	Apr 06, 16	Mar 04, 19	401.45	420.89		-19.44	
	FIFO	73,000	Apr 06, 16	May 20, 19	10,074.51	10,241.68		-167.17	
	FIFO	72,000	Jan 09, 17	May 20, 19	9,936.50	8,321.69			1,614.81
	FIFO	9,000	Nov 21, 17	May 20, 19	1,242.06	1,233.14			8.92
KANSAS CITY STEEL NEW	FIFO	3,000	Nov 15, 16	Mar 04, 19	329.21	256.38			72.83
LENNOX INTL INC	FIFO	1,000	Jul 09, 15	Mar 04, 19	244.14	108.76			135.38
	FIFO	37,000	Jul 09, 15	Apr 22, 19	9,774.53	4,024.12			5,750.41
LOWES COMPANIES INC	FIFO	8,000	Feb 16, 16	Mar 04, 19	822.79	540.18			282.61
MC CORMICK & CO NV	FIFO	2,000	Jan 09, 17	Mar 04, 19	268.75	181.54			87.21
MEDTRONIC PLC	FIFO	11,000	Jan 27, 15	Mar 04, 19	1,006.66	832.35			174.31

continued next page



Portfolio Management Program

Account name: THE DENNIS & VICTORIA ROSS  
 Friendly account name: QGARPSMIDTF  
 Account number: V1 35191 G9

Your Financial Adviser:  
 THE GASFER GROUP  
 248-643-9200/800-446-0311

Realized gains and losses (continued)  
 Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Less (\$)	Gain (\$)
MONDELEZ INTL INC	FIFO	22,000	May 19, 17	Mar 04, 19	1,030.80	998.86			31.94
	FIFO	225,000	May 19, 17	Mar 11, 19	10,495.75	10,215.56			280.19
	FIFO	5,000	Aug 01, 17	Mar 04, 19	461.79	439.67			22.12
NTNHN TRUST CORP	FIFO	40,000	Aug 01, 17	May 20, 19	3,734.68	3,517.39			217.29
	FIFO	43,000	Aug 01, 17	Sep 23, 19	4,060.84	3,781.20			279.64
	FIFO	2,000	May 12, 15	Mar 04, 19	736.81	442.18			294.63
O RELLV AUTOMOTIVE INC	FIFO	6,000	Sep 19, 17	Mar 04, 19	1,042.55	1,054.20		-11.65	
	FIFO	101,000	Sep 19, 17	May 13, 19	16,903.32	17,745.70		-842.38	
	FIFO	42,000	Sep 19, 17	Sep 16, 19	7,590.77	7,379.39			211.38
PARKER HANNIFIN CORP	FIFO	82,000	Nov 16, 15	Jan 02, 19	14,331.68	6,390.65			7,941.03
	FIFO	10,000	Jan 04, 16	Jan 02, 19	1,747.77	812.10			935.67
	FIFO	63,000	Jan 09, 17	Jan 02, 19	11,010.93	4,563.03			6,447.90
ROCKWELL AUTOMATION INC NEW	FIFO	28,000	Jun 05, 17	Jan 02, 19	4,893.74	2,572.08			2,321.66
	FIFO	3,000	Sep 14, 15	Mar 04, 19	533.45	315.35			218.10
	FIFO	19,000	Sep 19, 17	Mar 04, 19	847.96	1,290.04		-442.08	
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	95,000	Sep 19, 17	Mar 11, 19	3,947.30	6,450.20		-2,502.90	
	FIFO	179,000	Sep 19, 17	May 13, 19	6,912.28	12,153.55		-5,241.27	
	FIFO	84,000	Dec 19, 17	May 13, 19	3,243.76	5,408.75		-2,164.99	
SEALED AIR CORP NEW	FIFO	8,000	Sep 13, 16	Mar 04, 19	351.19	362.20		-11.01	
	FIFO	174,000	Sep 13, 16	Apr 22, 19	7,891.28	7,877.85			13.43
	FIFO	67,000	Sep 13, 16	Jun 24, 19	2,774.41	3,033.43		-259.02	
SERVICE CORP INTL INC COM	FIFO	114,000	Jan 09, 17	Jun 24, 19	4,720.65	5,370.11		-649.46	
	FIFO	9,000	Jan 09, 17	Mar 04, 19	376.73	260.73			116.00
	FIFO	8,000	Jan 23, 18	Mar 04, 19	493.35	400.91			92.44
SS&C TECHNOLOGIES HLDGS	FIFO	310,000	Jan 23, 18	Mar 18, 19	19,451.32	15,535.25			3,916.07
	FIFO	47,000	Mar 29, 18	Sep 23, 19	2,354.18	2,471.26		-117.08	
	FIFO								

continued next page



Portfolio Management Program

Account name: THE DENNIS & VICTORIA ROSS  
Friendly account name: QGARPSMIDTF  
Account number: V1 35191 G9

Your Financial Advisor:  
THE GASPER GROUP  
248-643-9200/800-446-0311

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
SUPERNUS PHARMACEUTICALS INC	FIFO	31,000	Jun 15, 18	Sep 23, 19	1,552.76	1,640.69		-87.93	
TECHNIPMC PLC	FIFO	175,000	Sep 18, 18	Sep 23, 19	4,903.40	8,209.25		-3,305.85	
THERMO FISHER SCIENTIFIC INC	FIFO	9,000	Jan 17, 17	Mar 04, 19	200.52	319.77		-119.25	
TIX COS INC NEW	FIFO	4,000	Mar 16, 15	Mar 04, 19	1,038.47	521.56			516.91
UNITEDHEALTH GROUP INC	FIFO	16,000	Mar 03, 15	Mar 04, 19	822.55	546.44			276.11
UNITED TECHNOLOGIES CORP	FIFO	7,000	Feb 12, 18	Mar 04, 19	1,646.24	1,585.62			60.62
	FIFO	7,000	Aug 24, 15	Mar 04, 19	877.24	639.59			237.65
	FIFO	50,000	Aug 24, 15	May 28, 19	6,569.20	4,568.49			2,000.71
	FIFO	58,000	Sep 14, 15	May 28, 19	7,620.28	5,312.22			2,308.06
	FIFO	31,000	Oct 28, 15	May 28, 19	4,072.91	3,074.89			998.02
	FIFO	39,000	Nov 05, 15	May 28, 19	5,123.98	3,897.66			1,226.32
	FIFO	8,000	Nov 16, 15	May 28, 19	1,051.07	776.72			274.35
	FIFO	9,000	Jan 04, 16	May 28, 19	1,182.46	851.54			330.92
	FIFO	21,000	Jun 05, 17	May 28, 19	2,759.06	2,544.34			214.72
VISA INC CL A	FIFO	14,000	Sep 13, 16	Mar 04, 19	2,065.61	1,148.93			916.68
	FIFO	75,000	Sep 13, 16	Jun 18, 19	12,650.92	6,154.96			6,495.96
	FIFO	59,000	Jan 09, 17	Jun 18, 19	9,952.05	4,846.26			5,105.79
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	12,000	Jun 13, 16	Mar 04, 19	1,364.32	1,161.84			202.48
	FIFO	111,000	Jun 13, 16	Aug 12, 19	15,217.23	10,747.02			4,470.21
	FIFO	24,000	Jun 05, 17	Aug 12, 19	3,290.21	2,556.12			734.09
ZAYO GROUP HLDGS INC	FIFO	9,000	Jan 23, 18	Mar 04, 19	219.68	325.35		-105.67	
	FIFO	366,000	Jan 23, 18	Dec 16, 19	12,559.03	13,230.71		-671.68	
	FIFO	20,000	Jan 14, 19	Dec 16, 19	686.29	778.06		-91.77	
<b>Total</b>					<b>\$580,213.81</b>	<b>\$446,540.68</b>		<b>-132,571.13</b>	<b>\$159,244.26</b>
<b>Net long-term capital gains or losses</b>									<b>\$133,673.13</b>
<b>Net capital gains/losses:</b>									<b>\$152,711.02</b>



# THE DENNIS AND VICTORIA ROSS FOUNDATION - 2019 FORM 990-PF

## PART IX-A - SUMMARY OF DIRECT CHARITABLE ACTIVITIES

### 1. HITCHENS PRIZE/LECTURE

The Hitchens Prize, named in honor of the late writer and critic Christopher Hitchens, is awarded annually by the Foundation to an author or journalist whose career reflects a commitment to free expression and inquiry, a range and depth of intellect, and a willingness to pursue the truth without regard to personal or professional consequence. The Prize was designed in keeping with the Foundation's mission of fostering an engaged and informed public through events celebrating or featuring key journalists, artists, and professionals whose work reflects open, honest inquiry, and the values enshrined in the First Amendment.

For the Prize's fifth year, the Foundation selected the author and journalist **George Packer**. Mr. Packer's long career exemplifies the thoughtful, fearless, and highly literary standard found in Christopher Hitchens' own work. His speech at the Prize Ceremony was a stirring defense of free speech principles at a time of increased censorship and backlash against controversial writing and thought. Our Prize partner, *The Atlantic* magazine, published Mr. Packer's speech as an essay entitled "The Enemies of Writing", and it has been widely shared and debated on social media and in journals since then, including *The New Republic* and *The New York Times*. In the future, we hope to continue to feature Prize winners' remarks with the public in this format, and on our website.

Due to scheduling difficulties with many of the principal participants and organizers, we were unable to host the event in late 2019 (as intended). The Ceremony took place on January 21st, 2020. As such, the bulk of the related costs, including the honorarium, were expensed at that time, and will be accounted for in full on that year's return.

## 2. PLAYWRIGHTS PROGRAM

The Playwrights Program (formerly the Emerging Playwrights Program) is an annual developmental opportunity for playwrights in New York City. The Program intends to identify promising, previously-unproduced full-length scripts from writers who have not yet experienced commercial success or sponsorship, and to elevate their work to public notice, while allowing them opportunities to refine their craft.

Each year, the Foundation solicits submissions of full-length plays from writers in the United States. Foundation staff, as well as qualified paid readers, evaluate each script. Ultimately one piece is chosen for the main developmental track, as well as 5-6 finalists.

The main development track consists of 1-2 weeks of intensive rehearsal. The Foundation hires a director, professional actors, and other creative staff to help the writer achieve the specific developmental goals set for their play. This period culminates in a staged reading of the piece, in which a diverse audience of producers, directors, actors, and members of industry are invited to experience the piece and deliver feedback to the artist.

In Spring of 2019, "Dirty Dirty", by playwright Amy Gijbsbers van Wijk, had its industry reading at the Foundation space in Manhattan.

Finalists from this cycle of the Program were invited to our annual Round Table workshops in the first quarter of 2019. These weekly workshops consist of one play read by professional actors each week, followed by playwright discussion sessions. A special industry guest is present at each meeting.

Submissions for the 2019/20 cycle opened in Summer 2019, with Foundation staff and hired readers processing several hundred scripts. "In Sisters We Trust" by Justine Gelfman was ultimately selected.

While COVID-19 restrictions have disrupted the normal scheduling of the reading and rehearsal of this piece, we have continued virtual development with the director and playwright via conference call and Zoom, and hope to present in person sometime in 2021. We are also considering holding our annual Round Table workshop series for Program finalists via webcast in Q4 2020/Q1 2021.

### **3. CONFERENCE ON INCOME AND TAX INEQUALITY**

The Foundation has continued to work towards a conference on “Income and Tax Inequality”- a topic that we believe is often obscured by political or factional differences between politicians and commentators.

The Foundation had made steps towards hosting this conference in 2019 and 2020. Scheduling and COVID-related disruptions have set this timeline back indefinitely, but we remain committed to realizing this event as soon as it is possible to do so.